

**INVESTIGATIONS OF THERMO-SENSITIVE QUARTZ RESONATORS NLC-CUT  
AT CRYOGENIC TEMPERATURES**

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**ABSTRACT**

The paper presents obtained results from the testing of motional parameters, Q-value, amplitude-frequency characteristics of a new miniaturized design of TSQR with  $yx/-31^\circ30'$  cut, and their dependency with respect to temperature. This design operates at 29.3 MHz on fundamental frequency of mode. The influence of mass loading on motional parameters and spectrum characteristics is detailed. Special attention is given to the disturbances of the frequency-temperature characteristic from room temperature down to the He temperatures.

**1. INTRODUCTION**

Thermo-sensitive quartz resonators (TSQRs) recently became more attractive as high sensitive temperature sensors for scientific and industrial applications, due to their advantages, for instance good reproducibility, long term stability and immunity to electromagnetic perturbations.

The most important advantage of quartz is its anisotropy, allowing the design of piezoelectric resonance devices, which possess either negligible or strong temperature-frequency dependence. The temperature-frequency characteristic (TFC) of each resonator can be represented by polynomial of  $n$ -th order and has the form of a straight line (LC cut), quadratic (BT, CT cuts) or cubic parabola (AT, SC cuts) [Ref.1]. On this base a family of different thermostable quartz resonators for telecommunication applications has been developed [Ref.2,3]. Since 1962 there has been a systematic approach to promoting the temperature sensitivity of the frequency from an unwonted effect in the frequency control application domain to an attractive temperature sensor concept [Ref.4,5]. Researchers at Hewlett-Packard [Ref.6] developed the first thermosensitive resonators with a linear TFC in the interval from minus  $80^\circ\text{C}$  to  $230^\circ\text{C}$ . These resonators were sold as temperature sensors over a period of more than 25 years [Ref.7]. The main disadvantage of this sensor is the significantly higher price of doubly rotated compared to singly rotated Y-cuts.

In 1984 Ziegler [Ref.8] introduced the HT-cut quartz temperature sensor based on a slightly singly rotated Y-cut ( $\theta = -4^\circ$ ) with a frequency versus temperature sensitivity of  $90 \text{ ppm}/^\circ\text{C}$ . The nonlinearity is only a few percent over the full temperature range.

In 1987 Spassov et al. [Ref.9] found a singly rotated quartz Y-cut ( $yx/-31^\circ30'$ ), named as NLC (new linear coefficient) cut with a small linearity deviation of  $\pm 0.2^\circ\text{C}$  in temperature range from minus  $30$  to  $130^\circ\text{C}$ . The sensitivity is  $30 \text{ ppm}/^\circ\text{C}$  corresponding to  $1000 \text{ Hz}/^\circ\text{C}$  for 29.3 MHz fundamental mode crystal plate [Ref.10].

The present paper deals with further investigations of this type of TSQRs at cryogenic temperatures.

**2. EXPERIMENT**

**2.1 Resonator theory and design**

For quartz resonators with a rotated Y-cut and thickness-share mode of vibration, the resonance

$$f = \frac{m}{2h} \sqrt{\frac{c_{66}}{\rho}}$$

frequency is determined by where  $m$  is number of overtone,  $h$ -thickness of the plate,  $\rho$  - density of quartz, and

$$C'_{66} = C_{66} \cos^2 \theta + C_{44} \sin^2 \theta + C_{14} \sin 2\theta$$

$C_{ij}$  - are elastic constants and  $\theta$  the angle between the Z-axis and the main surface of the piezoelement.

$$f(t) = f_0 \left[ 1 + \sum_{n=1}^3 T_f^{(n)} (t - t_0)^n \right]$$

Temperature dependence of frequency extremely depends on orientation of piezoelement towards the crystallographic axis of quartz. It can be expressed by polynomial in 3<sup>rd</sup> order,

Temperature coefficients of frequency  $T_f^{(n)}$

$$T_f^{(n)} = \frac{1}{n!} \frac{\partial^n f}{\partial t^n} \Big|_{t=t_0}$$

where  $n=1,2,3$  is sum of the temperature coefficients of frequency of first, second and third order

$$T_f^{(n)} = T_f^{(1)} + T_f^{(2)} + T_f^{(3)}$$

Principal role in temperature dependence of frequency play the temperature coefficients of the elastic constants  $C_{ij}$ .

Knowing the temperature coefficients an orientation has been determined to ensure that temperature coefficient of the first order,  $T_f^{(1)}$  has positive value, while the temperature coefficients of second and third order,  $T_f^{(2)}$  and  $T_f^{(3)}$  respectively have values with different signs, which leads to a decrease of nonlinearity.

Miniature resonator with  $yx/-31^\circ30'$  cut quartz plate on the fundamental frequency 29.3 MHz have been realized. The diameter of the plate is 5 mm and its thickness is 0.083 mm. After it has been polished silver electrodes with 2.9 mm diameter have been deposited on the main surfaces of the plate. The thickness of electrodes varies from 500 Å to 1200 Å for each side. Piezoelement is enclosed in a standard HC - 45U crystal enclosure and filled with dry nitrogen or helium.

## 2.2 Influence of mass loading on motional parameters and spectrum characteristics.

Five groups with different thickness of electrodes have been investigated. By vacuum evaporation at  $4,6 \cdot 10^{-6}$  bars Ag electrodes with thickness from 500 Å to 1300 Å for each side have been deposited.

Typical values of the series resistance of the TSQRs are within  $8 \Omega$  to  $25 \Omega$ . It is observed some light increase of  $R_s$  with increasing the thickness of electrodes due to mass loading of the electrodes (fig 1).

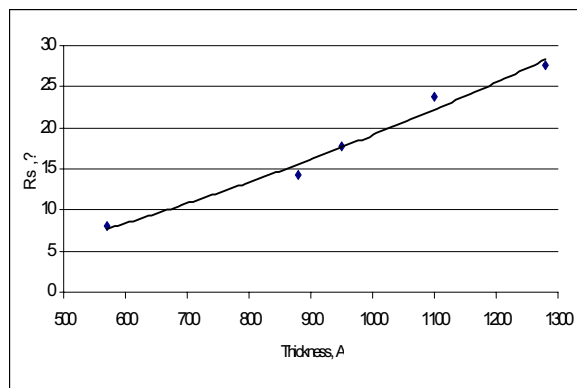


Fig.1. Series resistance shift vs. electrode thickness

Thickness of electrodes visible influence on the spectrum characteristics of the TSQRs. Resonators with thickness of electrodes in the range from 500 Å to 900 Å, which correspond of decreasing of frequency from  $5 \cdot 10^{-3}$  to  $9 \cdot 10^{-3}$  have comparatively pure spectrum characteristic. There are some unwanted modes which are located at distance more than 50 kHz and 20 dB attenuation (fig 2a). At thickness 950 Å to 1050 Å, which correspond to decreasing of frequency with  $9,23 \cdot 10^{-3}$  to  $9,77 \cdot 10^{-3}$ , a new peak at 25 kHz higher towards main resonance comes into view (fig. 2b). It disappears at thickness over 1100 Å (fig.2c) i.e.  $1 \cdot 10^{-2}$  mass loading.

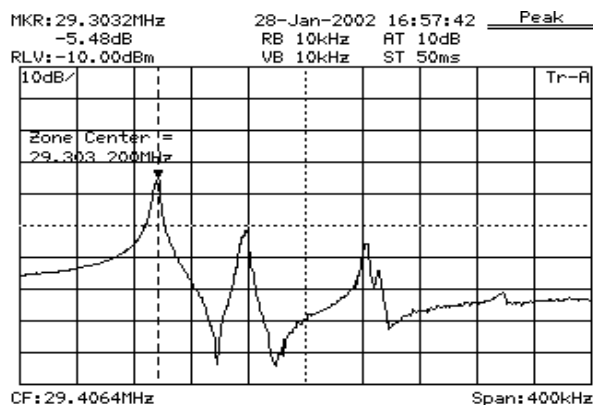


Fig. 2a. Typical spectrum characteristic of TSQR with mass loading from  $5 \cdot 10^{-3}$  to  $9 \cdot 10^{-3}$

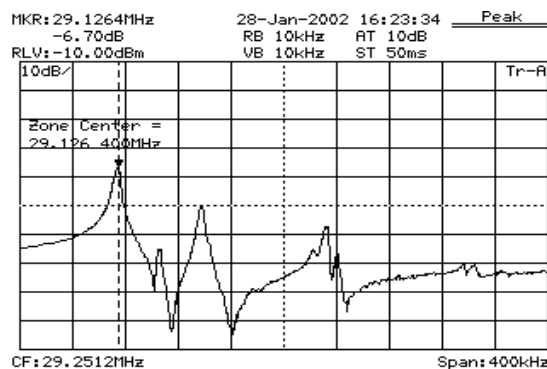


Fig. 2b Typical spectrum characteristic of TSQR with mass loading from  $9,23 \cdot 10^{-3}$  to  $9,77 \cdot 10^{-3}$

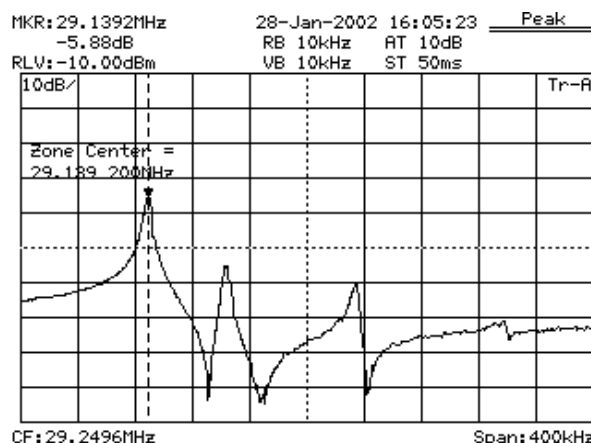


Fig. 2c. Typical spectrum characteristic of TSQR with mass loading over  $1 \cdot 10^{-3}$

## 2.3. Influence of temperature on spectrum characteristics

A typical spectrum characteristic of TSQRs with 570 Å electrode's thickness is shown at figure 3.

Unwanted resonances are depressed more than 25 dB. Spectrum characteristic retains with out significant changing within temperature interval from minus 40° C to 120° C. There is some redistribution of acoustic energy between second and third peaks at minus 40° C and 120° C (fig. 3a,c) compare to spectrum at room temperature (fig 3b).

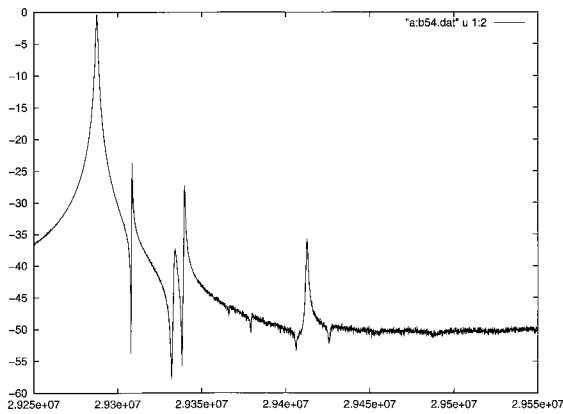


Fig. 3a. Spectrum characteristic of TSQR at room temperature

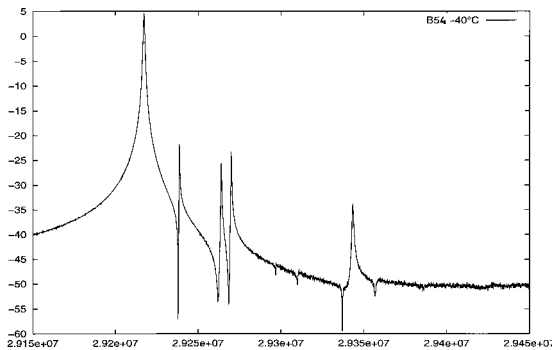


Fig. 3b. Spectrum characteristic of TSQR at minus 40°C

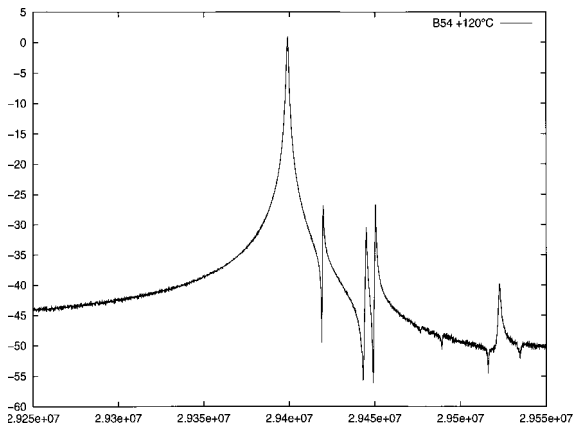


Fig.3c. Spectrum characteristic of TSQR at 120°C

### 3. INVESTIGATIONS OF TSQR AT CRYOGENIC TEMPERATURES

#### 3.1. Experimental set-up

The TFC at cryogenic temperatures was measured using a special helium vessel equipped with stick where the thermosensitive quartz resonator and carbon thermoresistor (TVO) for temperature measurement were attached. The block diagram of the equipment for measuring the TFC is shown on Figure 4.

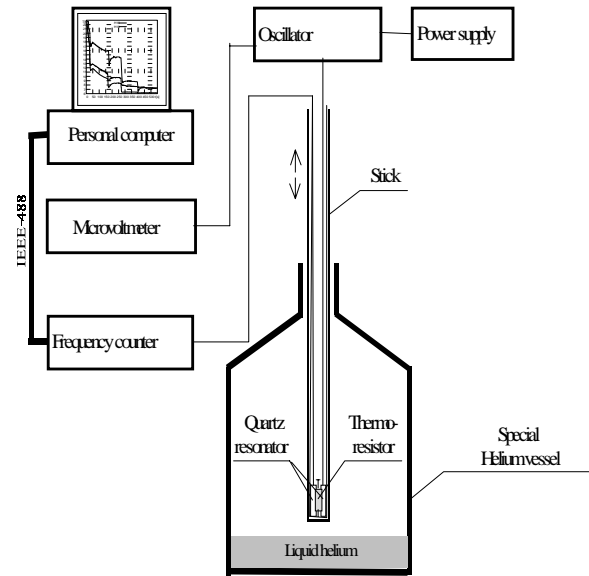


Fig.4. Experimental set up of measurement

A high-frequency matching cable to the measuring oscillator connected the quartz thermosensitive resonator. The frequency was measured by a ЧЗ-63 frequency counter with uncertainty about of  $\pm 1,10^{-9}$ . By vertical movement of the stick, the temperature of TSQRs and TVO-sensor was changed from room temperature to the temperature of liquid Helium - 4,2K. Changing the temperature with 5K step at temperature range from 4,2K to 40K and with 10 K step at temperature range from 40K to 300K, we measured the TFCs of the TSQRs. The temperature and frequency data are read every second by the personal computer (PC), where they are displayed as graphics and saved in files.

#### 3.2. Temperature-frequency characteristic

A polynomial of third order that describes temperature frequency characteristic with enough accuracy at temperature range from 40K to 300K.(Fig.5).

The coefficients of polynomial  $T_f^{(1)} = -5,9 \cdot 10^{-3}$  ;

$$f(t) = -0.0059t^3 + 4,8867t^2 - 167,99t + 28844900$$

$T_f^{(2)} = 4.8867$  ;  $T_f^{(3)} = 167.99$  ensure linear character of the TFC over 130K. In the temperature interval from 4,2 K to 130 K the temperature sensitivity of the resonators is monotonous increased function. In this interval the sensitivity increases with the increase of the temperature starting with a value of about 2 Hz/K at 4,2K to a value of 900 Hz/K at 130K. Over 130 K the TFC has linear character and the sensitivity is about 1000 Hz/K

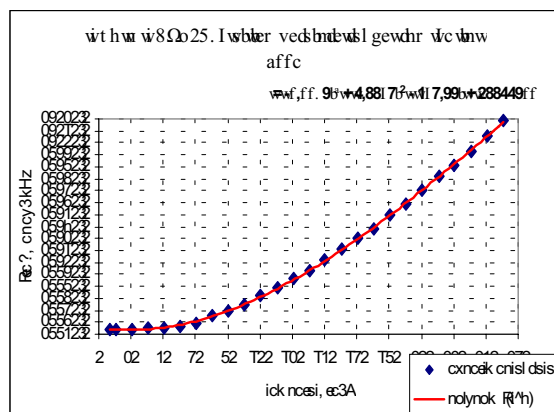


Fig.5. Temperature-frequency characteristic of TSQR at temperature range from 4,2K to 300K

Temperature-frequency characteristic at the temperature range below 40K to the temperature of liquid helium (4,2K) can be described better by follow equation:

$$f(t) = -0.018t^3 + 2.69t^2 - 47.3t + 28844200$$

as it is shown on Figure 6.

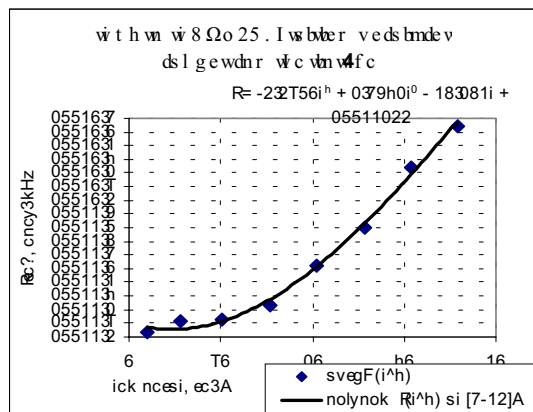


Fig. 6. Temperature-frequency characteristic of TSQR in temperature range from 4,2K to 40K

Frequency stability of TSQRs at the temperature of liquid helium was measured within time interval of 140seconds. Stability of  $3,5 \cdot 10^{-9}$  was registrated. (Fig.7), which is very closed to the frequency stability of the frequency counter.

One of the advantages of TSQR as temperature sensor is its high temperature sensitivity. It is different for different temperature range:

2 Hz/K at 4,2 K (temperature of liquid helium), 60 Hz/K at 20 K, 900 Hz/K at 130 K, 1000 Hz/K at 300 K,

This allows the measurement of the temperature fluctuations as small as:

at 4,2K,  $\Delta T_{\min} = 50\text{mK}$ ,  
at 20 K,  $\Delta T_{\min} = 8\text{ mK}$ ,  
at 130 K,  $\Delta T_{\min} = 0,12\text{ mK}$ ,  
at 300 K,  $\Delta T_{\min} = 0.085\text{ mK}$ .

This microdegree sensitivity allows measuring frequency deviation of a unit in  $10^9$ . However, for sensitivity higher than  $10^{-4}\text{ K}$  is limited by measuring equipment.

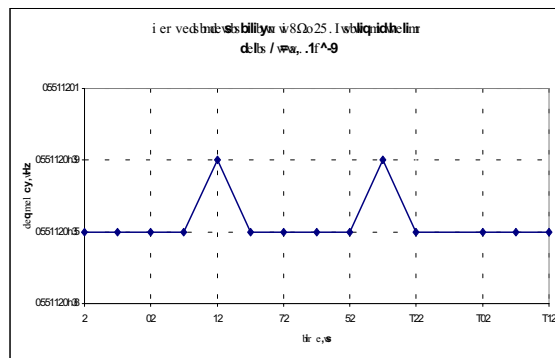


Fig. 7. Frequency stability of TSQR at He-temperature

### 3.3. Disturbances of TFC at cryogenic temperatures

In particular temperature intervals [50-60]K, [90-110]K and [120-135]K disturbances of the behavior of some of the resonators are observed. On Figures 8(a, b) is presented a kind of this disturbances in temperature interval [50-60]K.

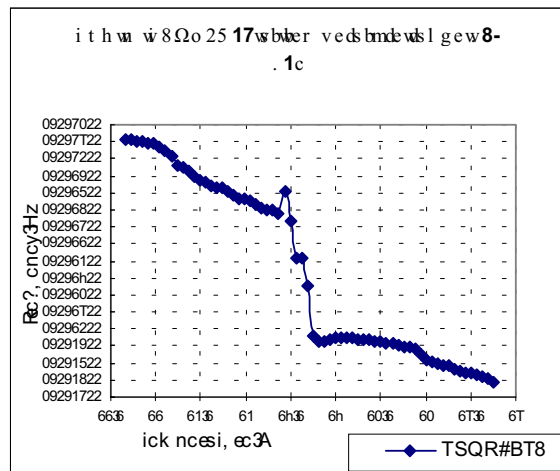


Fig.8a. Disturbance of TFC at decreasing of temperature

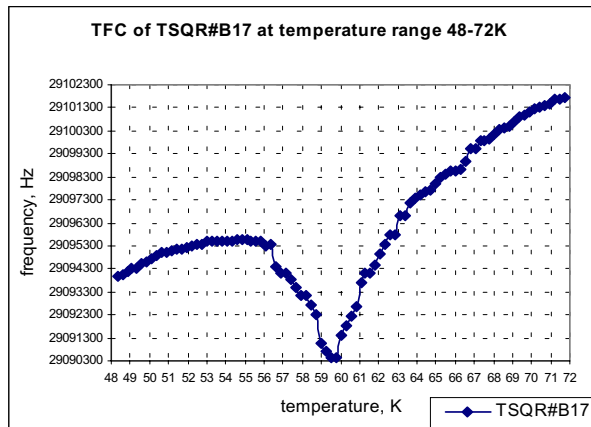


Fig.8b. Disturbance of TFC at increasing of temperature  
One can remark suddenly jumping of frequency when the temperature decrease (Fig.8a) and when the temperature increase (Fig.8b). The most possible reason of the instabilities is the stimulation and coupling of other mode of vibration at some temperatures. This phenomenon is known as “activity dip”.

#### 4. CONCLUSION

New design of miniaturized TSQR yxl/-31°30' cut has been investigated at room and cryogenic temperatures. Influence of mass loading on motional resistance and spectrum characteristics are studied. It is shown that with increasing of the mass loading motional resistance slightly increase. At thickness 950 Å to 1050 Å, which correspond to decreasing of frequency with  $9,23 \cdot 10^{-3}$  to  $9,77 \cdot 10^{-3}$  a new peak in spectrum characteristic at 25kHz higher towards main resonance come into view. It disappear at thickness over  $1 \cdot 10^{-3}$  mass loading. Spectrum characteristic retains with out significant changing within temperature interval from minus 40°C to 120°C.

It is found a polynomial of a third order that describes temperature-frequency characteristic with enough accuracy at temperature range from 40K to 300K. In the temperature interval from 4,2K to 130K the TFC of the resonators are monotonous increased function. Frequency stability ( $3,5 \cdot 10^{-9}$ ) and high temperature sensitivity allows measurements of temperature fluctuation as small as from 50mK at 4,2K to 0,01mK at 300K.

In particular cryogenic intervals some disturbances of the TFC of some resonators are observed. The most possible reason of the instabilities is the stimulation of coupling of the modes at some temperature, well known as “activity dip” phenomenon.

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